

The Regional Municipality of York

Audit Committee
January 16, 2020

Report of the Director, Audit Services

Audit Services Branch Report

1. Recommendation

Council receive this report for information.

2. Summary

This report provides an update on the activities of the Audit Services Branch since the last Audit Committee meeting.

The follow up report, Attachment 6, Tables C and D are in private as the original report to Audit Committee was in private as it dealt with the security of property of the Region.

3. Background

On October 11, 2000 the Audit Committee approved the development of the Audit Services function through the report of the Chief Administrative Officer. The Audit Committee Charter indicates the Audit Committee is to meet at least twice a year.

4. Analysis

Audit Plan Execution

The Audit Services Branch has been actively executing the approved 2019-2022 Four Year Audit Plan and other consulting engagements. A summary of the activities since the last Audit Committee meeting is outlined in Attachment 1.

Audit Reports Issued

The Audit Reports issues since the last Audit Committee meeting are:

- Community & Health Services – Sexual Health Clinics Audit (Attachment 2)
- Environmental Services – Capital Works Warranty Administration Audit (Attachment 3)
- Transportation Services – Traffic Signals and Illuminations Maintenance Audit (Attachment 4)
- Housing York Inc. – Contract Management Audit (Attachment 5)
- Outstanding Audit Recommendations Follow Up Report January 2020 (Attachment 6)

Audit Services Branch supports Vision 2051

The Audit Services Branch, through both audit and consulting engagements, assists the Region in achieving operational excellence and fiscal responsibility, which are two of the action areas in Vision 2051 under Open & Responsive Governance. The audit and consulting assignments assist management in ensuring processes are efficient, effective and economical.

5. Financial

Audit Services Branch will manage its workload within the Audit Services budget.

6. Local Impact

The Audit Services Branch provides audit services to seven of the local municipalities through an Audit Services Memorandum of Understanding, on a cost recovery basis.

7. Conclusion

A follow up of outstanding audit recommendations for audit reports issued prior to September 30, 2019 indicates that management remains cognisant and active in implementing Audit Services recommendations.

Audit Services continues to work with Region management at all levels to provide them with an independent, objective assurance and consulting activity designed to add value and improve the Region's operations. Audit Services does this by bringing a systematic and disciplined approach to evaluate and improve the effectiveness of risk management, control, and governance processes through guidance provided by the *International Standards for the Professional Practice of Internal Auditing*.

For more information on this report, please contact Antonio Bianchi, Acting Director, Audit Services at 1-877-464-9675 ext. 71218. Accessible formats or communication supports are available upon request.

Recommended by:

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December 20, 2019
Attachments (6)
Private Attachments (2)
eDocs # 10361356