

STATUS OF AUDIT SERVICES BRANCH 2021 RISK-BASED WORK PLAN

Table 1 – Status of Audit Projects

Strategic Plan Area of Focus	Department	Project	Status
Economic Vitality	Transportation	Mobility Plus Contract Management Audit	Complete
	York Region Rapid Transit Corporation (YRRTC)	YRRTC Audit*	In progress
	Transportation	Bus Operations Contracts Audit	Not started
	Finance – Treasury Office	Development Charges	Not started
Good Government	Legal Services – Court Services	Revenue Management	Not started
	Finance – Strategy & Transformation	Payroll Audit	Deferred and replaced with Long-term Disability Management and Oversight Audit
	Corporate Services – Human Resources	Long-term Disability Management and Oversight Audit*	In progress
	Corporate Services – Regional Clerk	Phase 1 – Handling of Private Information	Not started
	Finance – Strategy & Transformation	Employee Expenses Audit	Complete
	Corporate Services – Human Resources	Hiring Practices	Not started
	Finance – Controllershship Office & Deputy Treasurer	PCI Compliance	In progress
	Finance – Procurement Office	Advisory Services	Not started
	All Departments impacted by the Construction Act	Construction Act	Not started
	Finance – Information Technology Services	Cyber Security	In progress
Sustainable Environment	Environmental Services – Environmental Promotion & Protection	Waste Management agreements between Region and local municipalities	Not started
	Environmental Services – Operations Maintenance & Monitoring	SCADA Audit	In progress
	Environmental Services – Infrastructure Asset Management	Water Billing Audit	Not started
*Project added in year			

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Table 2 – Other Activities

Project Name	Status
1. Forensic Investigation Services	Ongoing
2. Advisory and Consulting Services	Ongoing
3. Control Monitoring Program	Ongoing
4. Quality Assurance and Improvement Initiatives	Ongoing
5. Audit Services under the Memorandum of Understanding for seven of the local municipalities.	Ongoing
6. Education and Outreach	Ongoing
7. Risk-Based Work Plan 2022	Not started
8. Fraud Prevention Program	In progress