

The Regional Municipality of York

Audit Committee

January 9, 2019

Report of the Director, Audit Services

Audit Services Branch Report

1. Recommendation

1. Council receive this report for information.

2. Summary

This report provides an update on the activities of the Audit Services Branch since the last Audit Committee meeting.

The audit report for Transportation Services – Engie Services Inc. Contract Management Audit Report, Attachment 3 is private as it deals with a position to be applied to any negotiations to be carried on by the Region.

The follow up report, Attachment 4, Tables C and D are in private as the original reports to Audit Committee were in private as they dealt with the security of property of the Region.

3. Background

On October 11, 2000 the Audit Committee approved the development of the Audit Services function through the report of the Chief Administrative Officer. The Audit Committee Charter indicates the Audit Committee is to meet at least twice a year.

4. Analysis

Audit Plan Execution

The Audit Services Branch has been actively executing the approved 2015-2018 Four Year Audit Plan and other consulting engagements. A summary of the activities since the last Audit Committee meeting is outlined in Attachment 1.

Audit Reports Issued

The Audit Reports issues since the last Audit Committee meeting are:

- Corporate Services – Health & Safety on Property Services Capital Projects Audit Report (Attachment 2)
- Transportation Services - Engie Services Inc. Contract Management Audit Report, (Private Attachment 3)
- Outstanding Audit Recommendations Follow Up Report (Attachment 4)

Audit Services Branch supports Vision 2051

The Audit Services Branch, through both audit and consulting engagements, assists the Region in achieving operational excellence and fiscal responsibility, which are two of the action areas in Vision 2051 under Open & Responsive Governance. The audit and consulting assignments assist management in ensuring processes are efficient, effective and economical.

5. Financial

Audit Services Branch will manage its workload within the Audit Services budget.

6. Local Impact

The Audit Services Branch provides audit services to seven of the local municipalities through an Audit Services Memorandum of Understanding, on a cost recovery basis.

7. Conclusion

A follow up of outstanding audit recommendations for audit reports issued prior to September 30, 2018 indicates that management remains cognisant and active in implementing Audit Services recommendations.

Audit Services continues to work with Region management at all levels to provide them with an independent, objective assurance and consulting activity designed to add value and improve the Region's operations. Audit Services does this by bringing a systematic and disciplined approach to evaluate and improve the effectiveness of risk management, control, and governance processes through guidance provided by the *International Standards for the Professional Practice of Internal Auditing*.

For more information on this report, please contact Paul Duggan, Director, Audit Services at 1-877-464-9675 ext. 71205. Accessible formats or communication supports are available upon request.

Recommended by:

Paul Duggan
Director, Audit Services

Attachments (3)
Private Attachments (3)
eDocs # 9023887