

STATUS OF AUDIT SERVICES BRANCH 2021 RISK-BASED WORK PLAN

Table 1 – Status of Audit Projects

Strategic Plan Area of Focus	Department	Project	Status
Economic Vitality	Transportation	Mobility Plus Contract Management Audit	Complete
	York Region Rapid Transit Corporation (YRRTC)	YRRTC Audit*	Complete
	Transportation	Bus Operations Contracts Audit	Rolled to 2022
	Finance – Treasury Office	Development Charges	Rolled to 2022
Good Government	Legal Services – Court Services	Revenue Management	In progress
	Finance – Strategy & Transformation	Payroll Audit	Deferred and replaced with Long-term Disability Management and Oversight Audit
	Corporate Services – Human Resources	Long-term Disability Management and Oversight Audit*	Complete
	Corporate Services – Regional Clerk	Phase 1 – Handling of Private Information	Rolled to 2022
	Finance – Strategy & Transformation	Employee Expenses Audit	Complete
	Corporate Services – Human Resources	Hiring Practices	Deferred; HR conducting an equity review of HR policies
	Finance – Controllership Office & Deputy Treasurer	PCI Compliance	In progress
	Finance – Procurement Office	Advisory Services	On-going
	All Departments impacted by the Construction Act	Construction Act	Deferred and will be reconsidered as part of 2023 planning
	Finance – Information Technology Services	Cyber Security	In progress
Sustainable Environment	Environmental Services – Environmental Promotion & Protection	Waste Management arrangements between Region and local municipalities	Rolled to 2022
	Environmental Services – Operations Maintenance & Monitoring	SCADA Audit	In progress
	Environmental Services – Infrastructure Asset Management	Water Billing Audit	Rolled to 2022
*Project added in-year			

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Table 2 – Other Activities

Project Name	Status
1. Forensic Investigation Services	Ongoing
2. Advisory and Consulting Services	Ongoing
3. Control Monitoring Program	Ongoing
4. Quality Assurance and Improvement Initiatives	Ongoing
5. Audit Services under the Memorandum of Understanding for seven of the local municipalities	Ongoing
6. Education and Outreach – Internal Audit Awareness Campaign and Fraud Prevention Campaign 2021	Complete
7. Risk-Based Work Plan 2022	Complete
8. Fraud Prevention Program	In progress