

STATUS OF AUDIT SERVICES BRANCH 2022 RISK-BASED WORK PLAN

Table 1 – Status of Audit Projects

Strategic Plan Area of Focus	Department	Project	Status
Economic Vitality	Transportation	Bus Operations Contracts Audit	Not started – Rolled into 2023
	York Region Rapid Transit Corporation (YRRTC)	YRRTC Audit*	Complete
	Finance – Treasury Office	Development Charges	Complete
Good Government	Legal Services – Court Services	Revenue Management	In progress
	Finance – Strategy & Transformation	Payroll Audit	In progress
	Corporate Services – Regional Clerk	Phase 1 – Handling of Private Information	Complete
	Finance – Controllership Office & Deputy Treasurer	PCI Compliance	In progress
	Finance – Procurement Office	Advisory Services	On-going
	Finance – Information Technology Services	Cyber Security	In progress
Healthy Communities	Community and Health Services – Shelter Operations	Shelter Operations	Not started – Rolled into 2023
Sustainable Environment	Environmental Services – Environmental Promotion & Protection	Waste Management arrangements between the Region and local municipalities	Not started – Rolled into 2023
	Environmental Services – Operations Maintenance & Monitoring	SCADA Audit	In progress
	Environmental Services – Infrastructure Asset Management	Water Billing Audit	Complete
*Requested that YRRTC Management provide a response to the audit			

STATUS OF AUDIT SERVICES BRANCH 2022 RISK-BASED WORK PLAN

Table 2 – Other Activities

Project Name	Status
1. Forensic Investigation Services	Ongoing
2. Advisory and Consulting Services <ul style="list-style-type: none"> • After Action Covid-19 Review Report • Procurement Practices in Paramedics and Senior Services • Fairness Monitoring 	Ongoing
3. Control Monitoring Program	Ongoing
4. Quality Assurance and Improvement Initiatives	Ongoing
5. Audit Services under the Agreement for seven of the local municipalities	Ongoing
6. Education and Outreach – Internal Audit Awareness 2022 Campaign	Complete
7. Risk-Based Work Plan 2023	Complete
8. Fraud Prevention Program	In progress
9. Quality Assurance with External Validation 2022	Complete